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Date: March 23, 2006

Jennifer Archer

Attorney Docket No. 101769-347 KGB

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT

Bernhard MÜSSIG et al.

SERIAL NO.

To Be Assigned [This is a 371 of PCT/EP2004/052208]

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**EXAMINER** 

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Commissioner for Patents PO Box 1450

Alexandria, VA 22313-1450

#### INFORMATION DISCLOSURE STATEMENT

#### SIR:

Pursuant to 37 CFR §§ 1.56, 1.97 and 1.98, Applicants respectfully request that the Examiner consider the references listed on the attached Form PTO/SB/08.

#### 1) Timeliness, Fees and Certifications in lieu of Fees

A. This information disclosure statement is being filed within three months of the filing date of the application, or within three months of entry into the national stage, or before the mailing of a first Office Action on the merits. Pursuant to 37 CFR § 1.97(b), consideration of this information disclosure statement does not require a fee or a statement under 37 CFR §

USSN: To Be Assigned Information Disclosure Statement Page 1

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| 1.97(e) | . Howe   | ever, sho | ould the Assistant Commissioner determine that a fee is, in fact, duc, the    |
|---------|----------|-----------|---|
| Assista | ınt Com  | mission   | ner is hereby authorized to charge the fee to Deposit Account No. 14-1263.    |
|         |          |           |   |
|         | B.       | This in   | formation disclosure statement is being filed after the period in A above,    |
| but bef | ore the  | mailing   | of either a final action or a notice of allowance. Pursuant to 37 CFR §       |
| 1.97(c) | , consid | leration  | of this information disclosure statement requires a fee or a statement under  |
| 37 CFI  | R § 1.97 | '(e):     |   |
|         |          | 1.        | The Assistant Commissioner is hereby authorized to charge the fee set         |
|         | forth in | 37 CF     | R § 1.17(p) to Deposit Account No. 14-1263.                                   |
|         | _        | 2         | A the second of the second in this  |
|         |          |           | Applicants hereby state that each item of information contained in this       |
|         |          |           | sclosure statement was cited in a communication from a foreign patent         |
|         | office i | n a cou   | nterpart foreign application not more than three months prior to the filing   |
|         | of the i | informa   | tion disclosure statement.  |
|         |          | 3.        | Applicants hereby state that no item of information contained in this         |
|         | inform   | ation di  | sclosure statement was cited in a communication from a foreign patent         |
|         | office i | in a cou  | nterpart foreign patent application, and, to the knowledge of the             |
|         | unders   | igned af  | ter making reasonable inquiry, no item of information contained in this       |
|         | inform   | ation di  | sclosure statement was known to any individual designated in 37 CFR §         |
|         | 1.56 m   | ore thar  | three months prior to the filing of this information disclosure statement.    |
|         | C.       | This in   | formation disclosure statement is being filed after the period specified in B |
| above,  | but on   | or befor  | te the payment of the issue fee. Pursuant to 37 CFR § 1.97(d),                |
| consid  | eration  | of this i | nformation disclosure statement requires a petition, which Applicants         |
| hereby  | request  | and pa    | syment of the petition fee, which is set forth in 37 CFR § 1.17(i), and       |
| which   | the Ass  | istant C  | ommissioner is hereby authorized to charge to Deposit Account No. 14-         |
| 1263.   | Consid   | eration o | of this information disclosure statement also requires a statement under 37   |
| CFR §   | 1.97(e)  | :         |   |
|         |          |           |   |

USSN: To Be Assigned Information Disclosure Statement Page 2 00078229

|                             | office                          | in a cou                                   | Applicants hereby isclosure statement unterpart foreign appartion disclosure state                                      | was cited in a co   | ommunication f   | rom a foreign  | patent             |
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|                             | office<br>unders<br>inform      | in a cou<br>signed a<br>nation di          | Applicants hereby isclosure statement unterpart foreign pat fer making reasonatisclosure statement in three months prio | was cited in a content application, able inquiry, no was known to a | ommunication for<br>and, to the know<br>item of informations<br>item individual de | rom a foreign pulledge of the tion contained esignated in 37 | in this            |
| II.                         | Copie                           | s of Lis                                   | ted References  |   |  |  |                    |
| _ ⊠<br>Copies               | A.<br>s of U.S                  | _  | s of all references liss<br>s are not included p  |   |  |  |                    |
| presen                      | t applic                        | ng the pration cl                          | s of all references listosecution of prior a aims priority pursua references listed or                                  | application Seria   | al No, file  | d, from<br>, pursuant to 3                                   | which the          |
| indica<br>attache<br>listed | tes that<br>ed Form<br>on the a | n PTO/S<br>both the<br>n PTO/S<br>attached | pplication is a PCT SB/08 were cited in e international searce SB/08 are in this nat Form PTO/SB/08 a                   | the internationath report and the ional stage file.                 | l search report, a<br>copies of the re<br>Therefore, copi                          | and PCT/DO/E   | EO/903<br>I on the |
| III.                        | •                               |  | ement of Relevance  |   |  |  |                    |
| □<br>and, th                | A.<br>nerefore                  |  | ferences listed on the sise statement of release  |   |  | e in the English   | ı language,        |
|                             | To Bc A                         | assigned<br>closure St                     | atement   | Page 3  |  |  | 00078229           |

B. All references listed on the attached Form PTO/SB/08 are referred to in the specification and/or were cited in the international search report, and a copy of that search report, which indicates the degree of relevance found by the International Search Authority, is attached.

The Examiner will note that English language counterparts or Abstracts of non-English language references are also enclosed, as follows:

WO 99/61541 - Corresponds to US 6,444,309

DE 44 42 091 A1 – Corresponds to US 5,629,078. Abstract provided.

DE 44 42 093 A1 – Abstract provided.

DE 44 42 507 A1 – Abstract provided.

DE 94 01 037.4 – Abstract not available

DE 100 02 180 A1 - Abstract provided.

DE 195 23 494 A1 - Abstract provided.

DE 199 10 730 A1 – Abstract provided.

DE 199 23 399 A1 – Corresponds to US 6,451,146. Abstract provided.

DE 200 22 272 U1 – Abstract not available.

DE 203 06 801 U1 – Abstract not available.

EP 0 886 357 A2 – Abstract provided.

JP 05-017727 - Abstract provided.

JP 05-250947 - Abstract provided.

JP 07-150126 – Abstract provided.

JP 09-208906 – Abstract provided.

JP 10-001583 – Abstract provided.

JP 10-149725 - Abstract provided.

JP 2000-198895 – Abstract provided.

JP 2000-200515 – Abstract provided.

☐ C. All references listed on the attached Form PTO/SB/08 were cited in the search report issued by the \_\_\_\_\_ Patent Office, and an English-language version of that search report, which indicates the degree of relevance found by that Patent Office, is attached.

USSN: To Be Assigned Information Disclosure Statement Page 4

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# 10/573241 IAP9 Rec'dPCT/PTO 23 MAR 2003

|          | D.       | This application is a PCT national stage application, all references listed on the |
|----------|----------|--|
| attache  | ed Form  | PTO/SB/08 were cited in the international search report, and a copy of that search |
| report.  | which    | indicates the degree of relevance found by the International Search Authority, is  |
| attache  |          |  |
| attach   | a.       |  |
|          | E.       | All listed on the attached Form PTO 1449 were cited during the prosecution of the  |
| nrior a  | pplicati | on indicated above under II.B.   |
| <b>P</b> | P P      |  |
| IV.      | Additi   | onal Information   |
|          | A.       | In addition to the references listed on the attached Form PTO/SB/08, Applicants    |
| wish to  | bring t  | to the attention of the Examiner the following abandoned or co-pending U.S. patent |
|          | ations:  |  |
| аррпс    | <i>.</i> |  |
| [Pursu   | ant to 3 | 7 CFR § 1.98(a)(2)(iii), copies of these applications are not being submitted.]    |
|          | B.       | In addition of the references listed on the attached Form PTO/SB/08, Applicants    |
| wish to  | bring t  | to the attention of the Examiner the information provided on the attached sheet.   |
| Consid   | leration | of the foregoing in relation to this application is respectfully, requested.       |
|          |          | 7)   |
|          |          | Respectfully submitted,  |
|          |          | NORRIS MCLAUGHLIN & MARCUS, P.A.   |
|          |          |  |
|          |          |  |
|          |          | Kurt G. Briscoe  |
|          |          | Attorney for Applicant(s)  |
|          |          | / Reg. No. 33,141  |
|          |          | 875 Third Avenue - 18 <sup>th</sup> Floor  |
|          |          | New York, New York 10022<br>Phone: (212) 808-0700                                  |
|          |          | Fax: (212) 808-0844  |
|          |          |  |

## 10/573241 IAP9 Rec'd PCT/PTO 23 MAR 2006

TO/SB/08A (08-03)

Approved for use through 7/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Application Number To Be Assigned Substitute for form PTO-1449 Filing Date: Herewith INFORMATION DISCLOSURE First Named Inventor Bernhard MÜSSIG et al STATEMENT BY APPLICANT'S Art Unit To Be Assigned (Form PTO/SB/08) Examiner Name: To Be Assigned of 3 Sheet 1 Attorney Docket Number: 101769-347 KGB U.S. PATENT DOCUMENTS Name of Patentee or Pages, Columns, Lines, Where Examiner's Cite **Document Number Publication Date** Applicant of Cited Document Relevant Passages or Relevant Initials\* MM-DD-YYYY No. Number-Kind Code<sup>2</sup> Figures Appear US - 4,451,533May 29, 1984 Wong et al. В US - 4,992,331 DeCoste, Jr. Feb. 12, 1991 C US - 5,498,476 Mar. 12, 1996 Tucker et al. FOREIGN PATENT DOCUMENTS Cite Foreign Patent Document **Publication Date** Name of Patentee or Pages, Columns, Lines, Where Examiner's Applicant of Cited Document Relevant Passages or Relevant Initials\* No. MM-DD-YYY Country Code3 -Number4-Kind Figures Appear D EP 0 370 424 A2 May. 30, 1990 Fuji Photo Film Co., Ltd. WO 99/29794 E Jun. 17, 1999 Minnesota Mining and Manufacturing Co. F WO 99/61541 Dec. 2, 1999 Silontec Corporation Corresponds to US 6.444.309 G Beiersdorf AG DE 44 42 092 A1 May. 30, 1996 Corresponds to US 5,629,078 / Η DE 44 42 093 A1 May 30, 1996 Beiersdorf AG ✓ E 44 42 507 A1 Jun. 5, 1996 Beiersdorf AG J DE 94 01 037.4 U1 N/A Mar. 10, 1994 Corpolast Fritz Mueller K DE 100 02 180 A1 Jul. 26, 2001 Mitsubishi Polyester Film GmbH Jan. 2, 1997 Beiersdorf AG L DE 195 23 494 A1 M DE 199 10 730 A1 Oct. 14, 1999 Certoplast Vorwerk & Sohn GmbH DE 199 23 399 A1 Apr. 27, 2000 Beiersdorf AG Corresponds to US 6.451,146 Sept. 20, 2001 N/A O DE 200 22 272 U1 Beiersdorf AG /Samir Shah/ 01/12/2010 **EXAMINER SIGNATURE:** DATE CONSIDERED:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'see Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'for Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

The collection of information is required by 37 CFR 1.197 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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### IAPO Rec'd PCT/PTO 23 MAR 2005

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| Substitute for form PTO-1449 |             |  |                             | Application Number   |  | To Be Assigned  Herewith  Bernhard MÜSSIG et al                                 |          |  |
|------------------------------|-------------|--|-----------------------------|----------------------|--|---|----------|--|
|                              |             |  |                             |                      | ing Date:  |   |          |  |
| INFORMATION DISCLOSURE       |             |  |                             | First Named Inventor |  |   |          |  |
| STATEN                       |             | T BY APPLICA   | NT'S                        | Art Unit             |  | To Be Assigned  |          |  |
|                              | (           | (Form PTO/SB/08)   |                             | Ex                   | aminer Name:                                       | To Be Assigned  |          |  |
| Sheet 2 of 3                 |             |  | Att                         | orney Docket Number: | 101769-347 KGB                                     |   |          |  |
|                              |             | F  | OREIGN PA                   | ATE                  | NT DOCUMENTS                                       |   |          |  |
| Examiner's<br>Initials*      | Cite<br>No. | Foreign Patent Document  Country Code <sup>1</sup> –Number <sup>4</sup> -Kind  Code <sup>1</sup> | Publication D:<br>MM-DD-YYY |                      | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear | T        |  |
|                              | P           | DE 20306 801 U1  | Jul, 17, 2003               |                      | Certoplast Vorwerk &<br>Sohn GmbH                  |   | N/A      |  |
|                              | Q           | EP 0 886 357 A2  | Dec. 23, 199                | 8                    | Mohr Karl Eugen                                    |   | <b>✓</b> |  |
|                              | R           | EP 0 953 599 A1  | Nov. 3, 1999                | 1                    | Kyowa Chemical Industry Co., Ltd.                  |   | ~        |  |
|                              | s           | EP 1 000 992 A1  | May 17, 200                 | 0                    | Silontec Corporation                               |   | 1        |  |
|                              | T           | EP 1 097 976 A2  | May 9, 2001                 |                      | Nitto Denko Corporation                            |   | 1        |  |
|                              | U           | EP 1 123 958 A2  | Aug. 16, 200                | 1                    | Certoplast Vorwerk & Sohn GmbH                     | ·   | N/A      |  |
|                              | v           | JP 05-17727  | Jan. 26, 1993               | 3                    | Toppan Printing Co. Ltd.                           |   | 1        |  |
|                              | w           | JP 05-250947   | Sept. 28, 199               | 3                    | Yazaki Corp.                                       |   | 1        |  |
|                              | X           | JP 07-150126   | Jun. 13, 1995               | 5                    | Nitto Denko Corp.                                  |   | ✓        |  |
|                              | Y           | JP 09/208906   | Aug. 12, 199                | 7                    | Sekisui Chem Co. Ltd.                              |   | 1        |  |
|                              | z           | JP 10-001583   | Jan. 6, 1998                |                      | Tatsuta Electric Wire &<br>Cable Co. Ltd           |   | ~        |  |
|                              | AA          | JP 10-149725   | Jun. 2, 1998                |                      | Sekisu Chem Co. Ltd.                               |   | 1        |  |
|                              | AB          | JP 2000-198895   | Jul. 18, 2000               |                      | Toyo Chem Co. Ltd.                                 |   | 1        |  |
|                              | AC          | JP 2000-200515   | Jul. 18, 2000               |                      | Toyo Chem Co. Ltd.                                 |   | 1        |  |
|                              | AD          | WO 00/71634 A1   | Nov. 30, 200                | 0                    | Tyco International US                              |   | <b>/</b> |  |
|                              | AE_         | WO 03/070848 A1  | Aug. 28, 200                | 3                    | Yazaki Corporation                                 |   | <b>V</b> |  |
|                              | AF          | WO 97/05206  | Feb. 13, 1999               | 97                   | Scapa Group PLC                                    |   | 1        |  |
|                              | AG          | WO 99/35202  | Jul. 15, 1999               |                      | Minnesota Mining and Manufacturing Company         |   | ~        |  |
|                              | AH          | WO 99/61541  | Dec. 2, 1999                |                      | Slionet Corporation                                |   | ✓        |  |
| EXAMINE                      | R SIGN      | NATURE: /Sam   | iir Shah/                   |                      | DATE CONSI   | <b>DERED:</b> 01/12/2010  |          |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). 2see Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>for Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

"Applicant is to place a check mark here if English language Translation is attached.

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| Substitute for form PTO-1449   |              |   | Application Number | To Be Assigned              |                                 |                |  |  |
|--|--------------|---|--------------------|-----------------------------|---------------------------------|----------------|--|--|
| INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT'S<br>(Form PTO/SB/08) |              |   |                    | Filing Date:                | Herewith  Bernhard MÜSSIG et al |                |  |  |
|  |              |   |                    | First Named Inventor        |                                 |                |  |  |
|  |              |   |                    | Art Unit                    | To Be Assigned                  |                |  |  |
|  |              |   |                    | Examiner Name:              | To Be Assigned                  |                |  |  |
| Sheet 3 of 3   |              |   |                    | Attorney Docket Number:     | 101769-347 KG                   | 101769-347 KGB |  |  |
|  |              |   |                    | TERATURE DOCUMENT           |                                 |                |  |  |
| Examiner's<br>Initials*  | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |                    |                             |                                 |                |  |  |
|  | ΑI           | BARENDRECHT, et   | al.; Ullmann       | 's Encyclopedia; pages 525- | 555 – German la                 | nguage only.   |  |  |
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